



The Law Society

Anti-money laundering - the changing landscape

November 2008 - February 2009 - regional seminars
2 CPD hours

Overview

Compliance with money laundering obligations remains a high priority for solicitors' practices. The possibility of being used to assist with money laundering poses many risks for your firm, including:

- criminal and disciplinary sanctions for firms and individual solicitors
- civil action against the firm as a whole and individual partners
- damage to reputation leading to a loss of business.

Building on the Law Society's previous training on the Money Laundering Regulations 2007, these seminars consist of a formal lecture with scenario-based discussion sections, and will cover topics such as:

- the risk-based approach
- designing systems for compliance
- client ID
- due diligence for beneficial owners
- undertaking ongoing monitoring
- reporting obligations and privilege
- the new tipping off provisions.

Who should attend?

Level 1: This is general training on AML issues designed for MLRO's and compliance staff in the legal sector. Attendees are expected to be familiar with the relevant legislation and to have read the Law Society's anti-money laundering practice note.

Level 2: This is advanced general training on AML issues designed for MLRO's and compliance staff in the legal sector. Attendees are expected to have a detailed working knowledge of the relevant legislation and the Law Society's anti-money laundering practice note.

To download the practice note, visit: www.lawsociety.org.uk/moneylaundering

Speakers

Sue Mawdsley is a solicitor and was previously a licensed insolvency practitioner. Sue advises many professional practices, from multi-national to high street firms, on money laundering, compliance and risk management. Sue has a diploma in Anti-Money Laundering from the International Compliance Association, accredited by the Business School of the University of Manchester and is a Law Society accredited consultant for the Lexcel Practice Management Standard.

Ian Smith is a barrister based in London at 11 Stone Buildings. He is a commercial litigator with specialisms in civil fraud litigation, the law relating to proceeds of crime and private international law. He is retained by many law firms and institutions to provide anti-money laundering advice.

Dates and locations

Level 1 seminars

1.00pm - registration and refreshments (lunch not included); 1.30pm - 3.30pm - seminar (except London)

Thursday 20 November	London (4.00-6.00pm seminar)	Thursday 22 January	Southampton
Thursday 4 December	Manchester	Wednesday 28 January	Leicester
Thursday 11 December	Birmingham	Thursday 12 February	Bristol
Tuesday 16 December	Leeds	Wednesday 18 February	Tunbridge Wells
Thursday 8 January	Cardiff	Wednesday 25 February	Cambridge
Wednesday 14 January	Newcastle	Tuesday 28 April	Exeter
Wednesday 21 January	Oxford		

Level 2 seminars

4.00pm - registration and refreshments; 4.30pm - 6.30pm - seminar

Wednesday 19 November	London	Thursday 29 January	Birmingham
Tuesday 13 January	Manchester	Tuesday 10 February	Newcastle
Tuesday 20 January	Leeds	Tuesday 24 February	Maidstone
Tuesday 27 January	Bristol		



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The Law Society

Please complete this form in BLOCK CAPITALS and return with payment to: Events Team (Room 1.01), The Law Society, 113 Chancery Lane, London WC2A 1PL or DX 56 Lon/Chancery Ln
Tel : 020 7316 5700 / Fax: 020 7316 5667

Firm/Organisation: _____

Address: _____

Postcode: _____

DX address: _____

Delegate

Title: _____ First Name: _____ Surname: _____

Position: _____ Email Address: _____

Tel No: _____ Fax No: _____

I would like to attend the following seminar (tick one date/location)

Level 1 seminars: 1.00pm - registration and refreshments (lunch not included); 1.30pm - 3.30pm - seminar (except London)

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|---|------------------------------|--|-----------------|
| <input type="checkbox"/> Thursday 20 November | London (4.00-6.00pm seminar) | <input type="checkbox"/> Thursday 22 January | Southampton |
| <input type="checkbox"/> Thursday 4 December | Manchester | <input type="checkbox"/> Wednesday 28 January | Leicester |
| <input type="checkbox"/> Thursday 11 December | Birmingham | <input type="checkbox"/> Thursday 12 February | Bristol |
| <input type="checkbox"/> Tuesday 16 December | Leeds | <input type="checkbox"/> Wednesday 18 February | Tunbridge Wells |
| <input type="checkbox"/> Thursday 8 January | Cardiff | <input type="checkbox"/> Wednesday 25 February | Cambridge |
| <input type="checkbox"/> Wednesday 14 January | Newcastle | <input type="checkbox"/> Tuesday 28 April | Exeter |
| <input type="checkbox"/> Wednesday 21 January | Oxford | | |

Level 2 seminars: 4.00pm - registration and refreshments; 4.30pm - 6.30pm - seminar

- | | | | |
|--|------------|--|------------|
| <input type="checkbox"/> Wednesday 19 November | London | <input type="checkbox"/> Thursday 29 January | Birmingham |
| <input type="checkbox"/> Tuesday 13 January | Manchester | <input type="checkbox"/> Tuesday 10 February | Newcastle |
| <input type="checkbox"/> Tuesday 20 January | Leeds | <input type="checkbox"/> Tuesday 24 February | Maidstone |
| <input type="checkbox"/> Tuesday 27 January | Bristol | | |

If you are booking within two weeks of the seminar, please email to ensure there are places available: seminars@lawsociety.org.uk

Registration fee

£125 + VAT = £143.75 per delegate

Trainees - 10% discount £112.50 + VAT = £129.37 per delegate No. of delegate/s

Students - 30% discount £87.50 + VAT = £100.62 per delegate No. of delegate/s
(please bring your ISIC card to the event)

£ Total payment

Cheques payable to The Law Society

Office Use:

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Terms and Conditions

1. Event documentation will be distributed on the day.
2. The Law Society reserves the right to amend or cancel this event. Should the event be cancelled a full refund of the registration fee will be made. The Law Society can accept no further liability if the event is cancelled, including no liability for any expenses incurred as a result of cancellation.
3. If you have any special dietary requirements you must give us written notice at least 10 working days before the event and a supplement may be payable.
4. Full payment is required unless you give written notice of cancellation at least 10 working days before the event, in which case you will be refunded the

5. registration fee less an administration charge of £25 + VAT.
6. You may substitute a colleague without charge, provided you confirm in writing who the substitute will be before the day of the event.
7. The delegate and the firm/organisation are jointly and severally liable for payment of the fees due.
8. The Law Society can accept no liability for any loss suffered by any person acting or refraining from action as a result of the material delivered during or in connection with the event.
9. Acceptance by the Law Society of your booking will give rise to a legally binding contract between us on these terms and conditions.



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