

Anti-money laundering - getting it right



The Law Society

Overview

The Money Laundering Regulations 2007 are in force and your firm is at risk if you have not implemented appropriate controls to prevent money laundering. This seminar builds on the Introduction to the Law Society Practice Note series in 2007. The focus is on getting your policies and procedures right by understanding what the Regulations require you to achieve.

In particular our expert speakers will focus on:

- Anti-money laundering and mortgage fraud risks for solicitors
- Practical issues around identifying and verifying
- Client due diligence (CDD) on beneficial owners
- Relying on others to conduct CDD
- How to deal with others seeking to rely on you for CDD
- Identifying and dealing with politically exposed persons
- Ongoing monitoring requirements - when, how and how often?

Who should attend?

MLROs, compliance officers, risk lawyers, professional standards managers, sole practitioners, practice managers and client ID staff in the regulated sector.

Due to the significant changes brought about by the 2007 Regulations, both new and experienced MLROs will find this seminar useful.

Speakers:

Sue Mawdsley is a solicitor and was previously a licensed insolvency practitioner. Sue advises many professional practices, from multi-national to high street firms, on money laundering, compliance and risk management. Sue has a diploma in Anti-money laundering from the International Compliance Association, accredited by the Business School of the University of Manchester and is a Law Society accredited consultant for the Lexcel Practice Management Standard.

Ian Smith is a barrister based in London at 11 Stone Buildings. He is a commercial litigator with specialisms in civil fraud litigation, the law relating to proceeds of crime and private international law. He is retained by many law firms and institutions to provide anti-money laundering advice.

Ian is an editor and co-author of the practitioners' text Smith, Owen & Bodnar: Asset Recovery: Criminal Confiscation and Civil Recovery (2nd Edition, Oxford University Press, May 2007).

Dates and locations - 2 Hours CPD

21 April Full	4.30-6.30pm	London	Etc Venues Hatton Garden	Ian Smith
30 April	4.30-6.30pm	Cambridge	The Trinity Centre	Ian Smith
8 May	1.00-3.00pm	Birmingham	Clarendon Suites	Sue Mawdsley
14 May	4.30-6.30pm	Bristol	Bristol Marriott Royal	Ian Smith
21 May	1.00-3.00pm	Newcastle	Life Conference Centre	Sue Mawdsley
4 June	1.00-3.00pm	Leicester	Leicester Stage Hotel	Sue Mawdsley
19 June	1.00-3.00pm	Manchester	Macdonald Hotel	Sue Mawdsley
10 June	2.00-4.00pm	Blackpool	Ribby Hall	Sue Mawdsley
23 June	4.30-6.30pm	Cardiff	Law Society Wales Office	Ian Smith
26 June	1.00-3.00pm	Leeds	Novotel Leeds Centre	Sue Mawdsley
7 July	4.30-6.30pm	London	The Law Society, 113 Chancery Lane	Ian Smith

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TO BOOK Please complete this form and return it with payment to:

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I would like to attend the following seminar (tick one date/location)

If you are booking within two weeks of the seminar, please email to ensure there are places available: events@lawsociety.org.uk

- | | |
|---|---|
| <input type="checkbox"/> Monday 21 April, 4.30-6.30pm London, Etc Venues Hatton Garden | <input type="checkbox"/> Tuesday 10 June, 2.00-4.00pm, Blackpool, Ribby Hall |
| <input type="checkbox"/> Wednesday 30 April, 4.30-6.30pm, Cambridge, The Trinity Centre | <input type="checkbox"/> Thursday 19 June, 1.00-3.00pm, Manchester, Macdonald Hotel |
| <input type="checkbox"/> Thursday 8 May, 1.00-3.00pm, Birmingham, Clarendon Suites | <input type="checkbox"/> Monday 23 June, 4.30-6.30pm, Cardiff, Law Society Wales Office |
| <input type="checkbox"/> Wednesday 14 May, 4.30-6.30pm, Bristol, Bristol Marriott Royal | <input type="checkbox"/> Thursday 26 June, 1.00-3.00pm, Leeds, Novotel Leeds Centre |
| <input type="checkbox"/> Wednesday 21 May, 1.00-3.00pm, Newcastle, Life Conference Centre | <input type="checkbox"/> Monday 7 July, 4.30-6.30pm, London, 113 Chancery Lane |
| <input type="checkbox"/> Wednesday 4 June, 1.00-3.00pm, Leicester, Leicester Stage Hotel | |

Registration and refreshments 30 mins before start of seminar. Joining instructions will be sent two weeks before the seminar.

Registration fee

£105.00 + VAT = £123.37 per delegate

Cheques payable to **The Law Society**

Delegates

£ Total payment

Special requirements

Please advise if you have any special requirements _____

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Terms and Conditions

1. Event documentation will be distributed on the day.
2. The Law Society reserves the right to amend or cancel this event. Should the event be cancelled a full refund of any registration fee will be made. The Law Society does not accept liability for any expenses incurred by the delegate as a result of cancellation.
3. Prices may be subject to change and a supplement may be payable for special dietary requirements.
4. Full invoice payable unless a written notice is received at least 10 working days before the event. Substitutions can be made at any time without charge.
5. This booking form constitutes a legally binding contract. The delegate and firm are jointly and severally liable for payment of the fees due.

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